



# STATE OF CONNECTICUT

## INSURANCE DEPARTMENT

-----X  
In the Matter of:

PROPOSED ACQUISITION OF CONTROL OF  
PRUDENTIAL RETIREMENT INSURANCE AND  
ANNUITY COMPANY

Docket No. EX 21-78

By

GREAT WEST LIFE & ANNUITY INS. CO., ET AL.  
-----X

### RECORD OF PROCEEDING

1. Form A Statement to the Connecticut Insurance Department regarding the acquisition of control of Prudential Retirement Insurance and Annuity Company ("Domestic Insurer") by Great-West Life & Annuity Insurance Company, , GWL&A Financial Inc., Great-West Lifeco U.S. LLC, Great-West Financial (Nova Scotia) Co., , Great-West Lifeco Inc., Power Financial Corporation, Power Corporation of Canada, Pansolo Holding Inc., and The Desmarais Family Residuary Trust (collectively the "Applicants"), dated August 17, 2021, as supplemented with a cover letter, table of contents, and exhibits ("Application").
2. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, regarding receipt of Application from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated September 2, 2021.
3. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, requesting additional information regarding the Application from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated December 10, 2021.
4. Letter to the Connecticut Insurance Department with exhibits responding to the letter from the Department dated December 10, 2021, from Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, dated January 4, 2022.
5. Amended and Restated Form A Statement regarding the acquisition of control of the Domestic Insurer dated January 27, 2022, as supplemented with a cover letter, table of contents and exhibits.
6. Letter to the Connecticut Insurance Department updating Exhibits F-6 and F-7 of the Amended and Restated Form A Statement with the most recent financial statements dated January 28, 2022.

[www.ct.gov/cid](http://www.ct.gov/cid)

P.O. Box 816 Hartford, CT 06142-0816

Affirmative Action/Equal Employment Opportunity Employer

7. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, closing the Application but requesting some additional information, from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated February 3, 2022.
8. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, regarding scheduling of Public Hearing from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated February 3, 2022.
9. Notice of Public Hearing scheduled for February 23, 2022, and ordered by Andrew N. Mais, Commissioner, Connecticut Insurance Department, dated February 3, 2022.
10. Notice from Connecticut State Agency Public Meeting Calendar of posting of Public Hearing to Jennifer Dowty, Connecticut Insurance Department, dated February 7, 2022.
11. Notice of Appearance from Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, dated February 4, 2022.
12. Notice of Appearance from Bert Adams, Esq., of Eversheds Sutherland (US) LLP dated February 10, 2022.
13. Notice of Appearance from Sean M. Diamond, Esq., of Eversheds Sutherland (US) LLP, dated February 10, 2022.
14. Order by Andrew N. Mais, Commissioner, Connecticut Insurance Department, naming Joshua Hershman as hearing officer, dated February 16, 2022 as certified for delivery by Jennifer M. Dowty, Esq., Commissioner of the Connecticut Superior Court on February 16, 2022.
15. Pre-filed written testimony of Andra Bolotin for the Applicants, filed on February 18, 2022.
16. Pre-filed written testimony of Harry Arthur Dalessio for the Domestic Insurer, filed on February 18, 2022.
17. Affidavit of Publication for Hartford Courant dated February 18, 2022.
18. All Confidential Filings and Correspondence associated with Supplemental Biographical Affidavits, Third Party Verification Reports and Additional Documents as Requested between the Connecticut Insurance Department and the Applicants.

*Elizabeth P. Retersdorf*

2/23/2022

Elizabeth P. Retersdorf, Esq. Date  
Day Pitney, LLP

*Jennifer M. Dowty*

2/23/22

Jennifer M. Dowty, Esq. Date  
Connecticut Insurance Department

*Sean M. Diamond*

2-23-22

Sean M. Diamond, Esq. Date  
Eversheds Sutherland LLP

*Bert Adams*

2-23-22

Bert Adams, Esq. Date  
Eversheds Sutherland LLP