



STATE OF CONNECTICUT

INSURANCE DEPARTMENT

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IN THE MATTER OF:
Anthem Health Plans, Inc.
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DOCKET MC 21-37

STIPULATION AND CONSENT ORDER

It is hereby stipulated and agreed between Anthem Health Plans, Inc. and the State of Connecticut by and through Andrew N. Mais, Insurance Commissioner to wit:

I

WHEREAS, pursuant to an investigation, the Commissioner alleges the following with respect to Anthem Health Plans, Inc.:

1. Anthem Health Plans, Inc., hereinafter referred to as Respondent, is domiciled in the State of Connecticut and licensed to transact the business of an insurance company in the State of Connecticut, under license number 60217, with authority to place accident and health business.
2. Information and exhibits received by Department pursuant to an investigation indicate that the Respondent failed to establish practices and procedures to ensure compliance with Network Adequacy statutory reporting requirements resulting in instances of:
 - a. failure to provide current network appointment wait times for the 2021 network adequacy survey submission for the five appointment wait time categories.
3. The conduct as described above violates Section §§38a-472f of the Connecticut General Statutes and Regulations of Connecticut State Agencies 38a-472f; and constitutes cause for the imposition of a fine or other administrative penalty under §38a-2 of the Connecticut General Statutes.

II

WHEREAS, Respondent admits to the allegations contained in paragraphs two and three of Article I of this Stipulation and Consent Order; and

WHEREAS, Respondent agrees to provide the requested information by August 1, 2021, as described in the paragraph 2 of Section 1 of this Stipulation and Consent Order; and

WHEREAS, Respondent agrees to pay a fine in the amount of \$15,000 for the violations described herein, provided that if the Respondent fails to provide the required information by August 1, 2021, the Respondent shall pay an additional fine in the amount of \$50,000 and the Respondent's entire network will be deemed inadequate until such time when the Department receives the updated survey submission and determines that the Respondent's network meets all statutory and regulatory network adequacy requirements.

WHEREAS, the Respondent, being desirous of terminating this proceeding without the necessity of a formal proceeding or further litigation, does consent to the making of this Consent Order and voluntarily waives:

- a. any right to a hearing; and
- b. any requirement that the Insurance Commissioner's decision contain a statement of findings of fact and conclusions of law; and
- c. any and all rights to object to or challenge before the Insurance Commissioner or in any judicial proceeding any aspect, provision or requirement of this Stipulation.

NOW THEREFORE, upon the consent of the parties, it is hereby ordered and adjudged:

1. That the Insurance Commissioner has jurisdiction of the subject matter of this administrative proceeding.
2. That Respondent is fined the sum of Fifteen Thousand Dollars (\$15,000) for the violations herein above described, provided that the Respondent files with the Department the required information on or before August 1, 2021.
3. That the Respondent shall be assessed an additional penalty in the amount of Fifty Thousand Dollars (\$50,000) if the required information is not filed by August 1, 2021 and the Respondent's entire network will be deemed inadequate until such time when the Department receives the updated survey submission and determines that the Respondent's network meets all statutory and regulatory network adequacy requirements.

ANTHEM HEALTH PLANS, INC.

BY: 
(Representative of Insurance Company)

CERTIFICATION

The undersigned deposes and says that he/she has duly executed this Stipulation and Consent Order on this 26 day of April 2021 for and on behalf of Anthem Health Plans, Inc., that he/she is the President of such company, and he/she has authority to execute and file such instrument.

BY: [Signature]

State of Connecticut

County of New Haven

Personally appeared on this 26 day of April 2021, Lou Coranquisto signer and sealer of the foregoing Stipulation and Consent Order, acknowledged same to be his/her free act and deed before me.

[Signature]
Notary Public/Commissioner of the Superior Court

DEBRA A. CHEFFER
NOTARY PUBLIC
MY COMMISSION EXPIRES 11/30/2024

Section Below To Be Completed by State of Connecticut Insurance Department

Dated at Hartford, Connecticut this 28th day of April 2021.

[Signature]
Andrew N. Mais
Insurance Commissioner