



STATE OF CONNECTICUT

INSURANCE DEPARTMENT

-----X	
IN THE MATTER OF:	:
	:
YAHMAD ROUNTREE	:
	:
Respondent	:
-----X	:
	Docket No. FC 21-53

ORDER FOR DEFAULT JUDGMENT AND ORDER OF REVOCATION

The Insurance Commissioner, hereinafter referred to as Commissioner, is charged with the administration and enforcement of the insurance laws and regulations that pertain to licensees of the Insurance Department pursuant to Connecticut General Statutes Section 38a-8.

Section 38a-774 of the Connecticut General Statutes provides in part that:

The commissioner, after reasonable notice to and hearing of any holder of a license issued by the commissioner, may suspend or revoke the license for cause shown. In addition to or in lieu of suspension or revocation, the commissioner may impose a fine not to exceed five thousand dollars.

The Commissioner has conducted an investigation of the activities of the above captioned Respondent and as a result of that investigation issued a Complaint dated June 16, 2021, a copy of which is attached hereto as Exhibit A, alleging cause to suspend or revoke licenses issued by the Commissioner, or in addition to or in lieu thereof impose a fine.

Section 38a-8-61 of the Regulations of Connecticut State Agencies provides that:

The respondent in any enforcement proceeding shall file an answer with the Commissioner within twenty (20) days of service of the notice of hearing specifically admitting or denying the allegations or charges set out in the notice. Factual allegations not specifically denied shall be deemed to be admitted.

Section 38a-8-62 of the Regulations of Connecticut State Agencies provides that:

In any proceeding when the Respondent fails to file an answer as required by Section 38a-8-61 of these Regulations or fails to appear at a duly noticed hearing, the Commissioner may, in his discretion, note such failure upon the record and render a decision by default.

IN ACCORDANCE WITH THE FOREGOING:

I FIND, in light of the foregoing, that a Complaint and Notice of Hearing was sent on or about June 16, 2021 to the Respondent, by regular first-class mail, by certified mail, Number 9214 8901 9403 8342 4971 95, with the proper postage at the following address:

Yahmad Rountree
[REDACTED]
[REDACTED]

Evidence of mailing of Certified Mail, Article Number 9214 8901 9403 8342 4971 95, sent to Respondent at [REDACTED] on June 16, 2021 is attached as Exhibit B. Certified Mail, Article Numbers 9214 8901 9403 8342 4971 95 was received by the Respondent on June 18, 2021, see Exhibit C. The Complaint and Notice of Hearing sent by first-class mail to the Respondent was not returned to the Department.

As of this date, the Department has not received any answer to the Complaint from the Respondent.

The addresses stated above are the addresses filed with this Department by the Respondent, who is required by law to report any change of address within thirty days. From the date of this order, the Complaint and Notice of Hearing was mailed to the Respondent 34 days ago. Accordingly, I find that the Respondent received service and reasonable notice of the Complaint in accordance with the Regulations of Connecticut State Agencies, Sections 38a-8-18 and 38a-8-59 et seq.

I FURTHER FIND that the Respondent did not file an answer within the time period required in accordance with the Regulations of Connecticut State Agencies, Section 38a-8-61. Accordingly, all of the allegations as set forth in the Complaint, attached hereto, are hereby deemed admitted.

IT IS HEREBY ORDERED, in accordance with the above findings and pursuant to Section 38a-8-61 of the Regulations of Connecticut State Agencies, that:

1. A decision by default enters against the Respondent, Yahmad Rountree.
2. That all licenses issued to the Respondent pursuant to Sections 38a-660 and 38a-769 of the Connecticut General Statutes, are hereby revoked and the Hearing originally scheduled for July 20, 2021 is cancelled.
3. That pursuant to Section 38a-8-63 of the Regulations of Connecticut State Agencies:

A respondent may move to reopen any decision rendered by default within sixty (60) days of the entry thereof. The motion shall be in writing and shall state the reasons for the failure of the respondent to answer or appear. If a default was entered for failure of the respondent to file an answer, the respondent shall submit said answer with the motion to reopen. If good cause appears for the failure of the respondent to answer or appear, the Commissioner may grant said motion and shall schedule the hearing at the earliest date convenient to the Commissioner.

So ordered this 21 day of July 2021



Andrew N. Mais
Insurance Commissioner



STATE OF CONNECTICUT
INSURANCE DEPARTMENT

CONNECTICUT INSURANCE DEPARTMENT EXHIBIT A

IN THE MATTER OF:

Yahmad Rountree

Respondent

Docket No. FC-21-53

COMPLAINT

The Insurance Commissioner of the State of Connecticut, having reason to believe that Yahmad Rountree engaged in acts of conduct as set forth herein and which, if true, would violate Sections 38a-16, 38a-660 et seq., 38a-769, 38a-815 and 38a-818 of the Connecticut General Statutes and would constitute cause under Sections 38a-2, 38a-660I, 38a-774 and 38a-817 of the Connecticut General Statutes for the suspension or revocation of insurance licenses issued by the Insurance Department and/or for the imposition of a fine, and it appearing to the Insurance Commissioner that a proceeding in respect thereof would be in the public interest, hereby issues this Complaint alleging the charges in that regard as follows:

COUNT 1

- 1. Yahmad Rountree, herein referred to as "Respondent" is licensed as a surety bail bond agent, license number 2526888, by the State of Connecticut Insurance Department, hereinafter referred to as "Department".
2. Pursuant to Connecticut General Statutes Section 38a-660(9)(k)(1), the Department is authorized to examine the books and records of surety bail bond agents.
3. The Department has contracted with Whittlesey & Hadley, P.C. as Auditors to conduct the examination of books and records of surety bail bond agents.
4. On March 24, 2021, the Department sent a notice, by e-mail and certified mail, return receipt requested, No. 9214 8901 9403 8335 7365, to the Respondent's e-mail and mailing address of record, informing him that the Department has contracted with Whittlesey & Hadley, P.C. to conduct these examinations, and that they will be contacting him directly to provide more information and schedule a date to review his records and files.
5. A return receipt received in this office indicates that the certified letter was delivered to the Respondent's address of record by the United States Postal Service on March 27, 2021.
6. On April 1, 2021, via e-mail, the Auditors sent the Respondent a message, requesting that he contact the Auditors to schedule his bail bond examination, as requested by the Department.
7. On April 8, 2021, via telephone, the Auditors spoke with the Respondent to schedule his bail bond examination, as requested by the Department. The Respondent told the Auditors he would upload the bail bond files to them by April 15, 2021. The Respondent failed to upload his bail bond files to the Auditors.

8. On April 22, 2021, via telephone, the Auditors attempted to call the Respondent to follow-up on the uploading of his bail bond files. The Respondent did not answer his telephone. The Auditors left a voice message with the Respondent, reminding him to contact the Auditors to schedule his bail bond examination. The Respondent failed to call back the Auditors.
9. On April 27, 2021, via telephone, the Auditors attempted to contact the Respondent. The Respondent did not answer his telephone and his voice mailbox was full. The Auditor sent the Respondent an e-mail message, reminding him to contact the Auditors to schedule his bail bond examination. To date, the Respondent has failed to call back the Auditors or respond to the Auditor's e-mail messages.
10. The conduct as described above, if true, is a violation of Sections 38a-16, 38a-660, 38a-660d, 38a-660e, 38a-815 and 38a-818 of the Connecticut General Statutes and constitutes cause for the suspension or revocation of his licenses and/or the imposition of fines pursuant to Sections 38a-2, 38a-660l, 38a-774 and 38a-817 of the Connecticut General Statutes.

COUNT II

1. Paragraph I of Count I is incorporated herein by reference as paragraph 1 of this Count, as though fully set forth herein.
2. On or about May 6, 2021, the Department sent a notice, by both first-class and certified mail, return receipt requested, No. 9214 8901 9403 8339 3146 03, to the Respondent's mailing address of record, requesting that he provide a response to the Department, in writing, within five (5) days of receipt of such notice in order to show compliance with the law with regard to the allegations cited in Count I of this Complaint.
3. On May 6, 2021, the Department e-mailed a copy of the May 6, 2021 notice to the Respondent at his e-mail address of record, requesting that he provide a response to the Department.
4. To date, the Respondent has not responded to the Department's May 6, 2021 notices or e-mail, and has failed to provide a response to the allegations against him.
5. The conduct as described above, if true, is a violation of Sections 38a-16, 38a-660, 38a-769, 38a-815 and 38a-818 of the Connecticut General Statutes and constitutes cause for the suspension or revocation of insurance licenses issued by the Department and/or for the imposition of fines pursuant to Sections 38-2, 38a-660l(a), 38a-774 and 38a-817 of the Connecticut General Statutes.

Notice is hereby given to you, Yahmad Rountree, Respondent herein, that the 20th day of July, 2021 at 10:00 is hereby fixed as the time where a public hearing will be held in the offices of the Insurance Department, Oxford Centre, 153 Market Street, 6th Floor, Hartford, Connecticut on the charges set forth in this Complaint, at which time and place you will have the right to appear before the Commissioner, or a duly designated hearing officer, to show cause why the suspension or revocation of your insurance license and/or fines should not be imposed.

The legal authority and jurisdiction for the hearing in this matter are contained in sections 38a-8, 38a-16, 38a-660, 38a-769, 38a-774 and 38a-817 of the General Statutes and in the Uniform Administrative Procedure Act, section 4-166 et seq. of the General Statutes.

Pursuant to section 38a-8-61 of the Regulations of Connecticut State Agencies, you are required to file an answer within the Insurance Department within twenty (20) days of service of this Complaint specifically admitting or denying the allegations or charges set out in the Complaint. Factual allegations not specifically denied shall be deemed to be admitted.

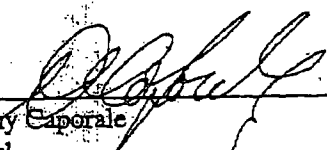
Failure to file said answer as required by Section 38a-8-61, R.C.S.A., or failure to appear at the time and place fixed for hearing will permit the Commissioner, at his discretion, to note such failure upon the record and render a decision by default.

If you as the Respondent desire to waive hearing on the allegations of fact set forth in the Complaint and not contest the facts alleged, please file with the Insurance Department an answer to this complaint on or before the twentieth (20th) day after service of it upon you, consisting of a statement that you as the Respondent in this matter admit all of the material allegations of fact charged in the Complaint to be true.

The Insurance Department does not discriminate on the basis of disability in admission to, access to, or operation of its programs, services or activities, in accordance with Title II of the Americans with Disabilities Act of 1990. Individuals who require auxiliary aids for effective communication or other accommodation are invited to make their needs and preferences known to Carmen Rivera, ADA Coordinator, at the Insurance Department - Tel: (860) 297-3800.

All correspondence concerning this matter should be sent to the Insurance Department, State of Connecticut, P. O. Box 816, Hartford, CT 06142-0816, attention: Anthony Caporale, Counsel.

Dated at Hartford, Connecticut, this 16th day of June, 2021.



Anthony Caporale
Counsel
(860) 297-3981

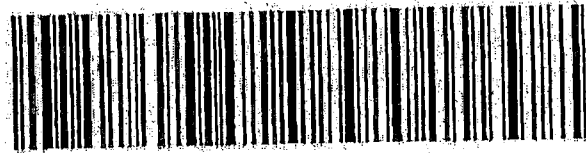


STATE OF CONNECTICUT
Insurance Department
P.O. Box 816
Hartford, CT 06142-0816

Department: Fraud

Sender: Nelson Leor

USPS CERTIFIED MAIL



9214 8901 9403 8342 4971 95

Yahmad Rountree
[REDACTED]
[REDACTED]

CONNECTICUT INSURANCE
DEPARTMENT

EXHIBIT B



STATE OF CONNECTICUT
Insurance Department
P.O. Box 816
Hartford, CT 06142-0816

Yahmad Rountree
[REDACTED]
[REDACTED]

Leon, Nelson

From: fraud, cid
Sent: Monday, June 21, 2021 10:58 AM
To: Leon, Nelson; Pomerleau, Andre
Subject: FW: USPS Signature for 9214 8901 9403 8342 4971 95
Attachments: POD_90194038320210616420065249214890194038342497195x.pdf.pdf

EXHIBIT

CONNECTICUT INSURANCE
DEPARTMENT

Amy Stegall
Program Manager
Fraud & Investigations

(860) 297-3933
Facsimile (860) 297-3872

From: ConnectSuite e-Certify <noreply@connect-suite.com>
Sent: Monday, June 21, 2021 10:29 AM
To: fraud, cid <cid.fraud@ct.gov>
Subject: USPS Signature for 9214 8901 9403 8342 4971 95

EXTERNAL EMAIL: This email and any attachments are UNCLASSIFIED//FOR OFFICIAL USE ONLY (U//FOUO). It is intended only for the individual named. If you have received this email by mistake, please notify the sender immediately by email. Do not disseminate, distribute, or copy this information. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail if you do not require it. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail if you do not require it. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail if you do not require it.

Tracking Number: 9214 8901 9403 8342 4971 9

ello State of Connecticut Department of Insurance,
thank you for using ConnectSuite. Attached is your POD!

(AHMAD ROUNTREE

shipment Activity	Location	Date & Time
DELIVERED LEFT WITH INDIVIDUAL	[REDACTED]	6/18/2021 8:21:00 PM

Department: Fraud
Sender: Nelson Leon



Mailer: State of Connecticut Department of Insurance

Date Produced: 06/21/2021

ConnectSuite Inc.:

The following is the delivery information for Certified Mail™/RRE item number 9214 8901 9403 8342 4971 95. Our records indicate that this item was delivered on 06/18/2021 at 02:28 p.m. in BETHANY, CT 06524. The scanned image of the recipient information is provided below.

Signature of Recipient :

Signature	X
Printed Name	[Redacted]

Address of Recipient :

Delivery Address	[Redacted]
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Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,
United States Postal Service

The customer reference number shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

This USPS proof of delivery is linked to the customers mail piece information on file as shown below:

YAHMAD ROUNTREE
[Redacted]

Customer Reference Number: C2758687.15878095

If you have any questions, please email us.SoftwareSupport@quadient.com or call Neopost customer service at 888-267-9381 Monday - Friday 8:00 a.m. - 5:00 p.m. eastern time.

Sincerely,

ConnectSuite Support Team