



# STATE OF CONNECTICUT

## INSURANCE DEPARTMENT

-----X  
 :  
 IN THE MATTER OF: :  
 :  
 Samuel Lohner : DOCKET No.: FC 21-93  
 :  
 :  
 Respondent :  
 :  
 -----X

### STIPULATION AND CONSENT ORDER

It is hereby stipulated and agreed between Samuel Lohner and the State of Connecticut Insurance Department by and through Andrew N. Mais, Insurance Commissioner to wit:

#### I

WHEREAS, Samuel Lohner (“Respondent”) is licensed as a resident Producer, license number 2639965, by the State of Connecticut Insurance Department (“Department”).

WHEREAS, pursuant to an investigation, the Commissioner alleges the Respondent failed to disclose that he had signed a “Letter of Acceptance, Waiver, and Consent” (AWC) with FINRA barring Respondent from associating with any FINRA member(s) in all capacities on June 30, 2021.

WHEREAS, the conduct described above is in violation of Sections 38a-702k, 38a-702o and 38a-769 of the Connecticut General Statutes and constitutes cause pursuant to Sections 38a-702k and 38a-774 of the Connecticut General Statutes, for the suspension or revocation of the Respondent’s insurance licenses, and/or for the imposition of a fine, and/or for criminal prosecution.

#### II

WHEREAS, the Respondent neither admits nor denies the allegations contained in Article I of this Stipulation and Consent Order.

WHEREAS, in an effort to avoid the expenses and uncertainty of litigation and/or an administrative proceeding, the Respondent, while neither admitting nor denying the allegations contemplated by the Department, agrees to the revocation of any and all insurance licenses issued to them by the Department effective immediately upon the

execution of this Stipulation and Consent Order and, in that respect, Respondent voluntarily waives:

- a. any right to a hearing;
- b. any requirement that the Commissioner's decision contain a statement of findings of fact and conclusions of law; and
- c. any and all rights to object to or challenge before the Commissioner or in any judicial proceedings any aspect, provision or requirement of this Stipulation and Consent Order.

NOW THEREFORE, upon consent of the parties, it is hereby agreed:

1. That the Insurance Department has jurisdiction of the subject matter of this administrative proceeding.
2. That the Respondent agrees to the revocation of all his Connecticut insurance licenses.
3. That the Respondent consents to the revocation of their insurance licenses and, in that respect, they voluntarily waive (a) any right to a hearing; (b) any requirement that the Insurance Commissioner's decision contain a statement of findings of fact and conclusions of law; and (c) any and all right to object to or challenge before the Insurance Commissioner or in any administrative or judicial proceedings any aspect, provision or requirement of this Stipulation.

CERTIFICATION:

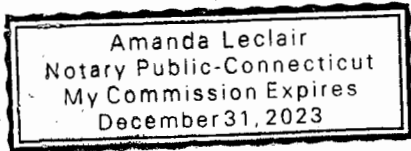
The undersigned, being duly sworn, deposes and says that he has duly executed this Stipulation and Consent Order on this 26th day of October, 2021.

By: *Samuel Lohnner*  
Samuel Lohnner

State of Connecticut )  
County of: Hartford )

ss:

Personally appeared before me on this 26 day of October 2021, Samuel Lohnner, executed the foregoing instrument for the purposes therein contained.



*Amanda LeClair*  
Notary Public/Commissioner of the Superior Court

**Section below to be completed by State of Connecticut Insurance Department**

SO ORDERED at Hartford, Connecticut this 28 day of October, 2021

*Andrew N. Mais*  
Andrew N. Mais  
Insurance Commissioner